

### Alameda County - Heat Vulnerability Indicators

### Data Sources Overview:

The United States Census' American Community Survey 5- year estimate from 2013-2017 was used for disability (hearing, ambulatory, cognitive, self-care, independent living, and vision), children, older adult, people of color, educational attainment, poverty, linguistic isolation, older adult isolation, and population density. Each of the variables are calculated as a percentage of their respective universe (Table 1), and using the provided margin of error, the coefficient of variation is calculated using the methods provided by the US Census Bureau. Those with a coefficient of variation greater than 30% were marked as "unstable", meaning the sample for that census tract has too large of a variation to be considered reliable. A 30% cutoff was used to ensure data reliability when subpopulations were small.<sup>1</sup> Older adult poverty was excluded from the principal component analysis (PCA) due to a substantial portion of census tracts being unstable; those census tracts account for about 85% of the population of the county, so this indicator was deemed too unstable to include in the analysis.

### CalEnviroScreen 3.0

Both cardiovascular disease and asthma estimates for census tracts in Alameda County were derived directly from Cal EnviroScreen 3.0 and are originally sourced from the California Office of Statewide Health Planning and Development from 2012-2016. The data provides age-adjusted rates of hospitalization per 10,000 people for heart attacks and asthma by census tracts between the years 2011-2013. PM2.5 is an estimate, in micrograms per square meter, of the annual mean PM2.5 concentration and is directly measured by the California Air Resources Board and was extracted from CalEnviroScreen 3.0. Similarly, ozone estimates are derived from 50 stations across the state by California Air Resources and measures daily maximum 8-hour ozone concentrations. These values were extracted from air quality monitors for 2012-2013 for the months May to October. Housing Burden is also extracted from CalEnviroScreen 3.0 but is originally from Housing and Urban Development (HUD) Comprehensive Housing Affordability Strategy (CHAS), accounting for years 2009-2013.

### NASA MODIS/Terra Satellite

Surface temperature was collected using Terra Modis land surface temperature satellite data from NASA for September 1, 2017, during a record-breaking heat wave in the San Francisco Bay Area. The resolution of the data is 1km. Surface temperature was overlaid with the spatial area of census tracts in the county to calculate the average minimum and maximum temperature over the 24-hour period and within the county boundary. The result was converted from Kelvin to Fahrenheit for ease of use.

<sup>&</sup>lt;sup>1</sup> King, K., Starsinic, M., Viver, A. H., & Beaghen, M. (2015). The Reliability of ACS 5-Year Estimates of Race Groups and American Indian and Alaska Native Populations.



# CalBRACE

Impervious surface and tree canopy measures were extracted directly from the California Building Resilience Against Climate Effects (CalBRACE) Project, but the original source is the Multi-Resolution Land Characteristics Consortium, National Land Cover Database (NLCD), 2011.



Variable	Source	Universe	Units/Definition
			Percent of individuals with the following
		Percent of non-	difficulties of status: hearing, ambulatory,
	American Community Survey - 5	institutionalized	cognitive, self-care, independent living,
Disability	year estimate, 2013 - 2017	population	and vision.
	American Community Survey - 5	Percent of the	
Children	year estimate, 2013 - 2017	population	Percent children, less than 5 years old
	American Community Survey - 5	Percent of the	
Older Adults	year estimate, 2013 - 2017	population	Percent elderly, older than 65 years
	American Community Survey - 5	Percent of the	
People of Color	year estimate, 2013 - 2017	population	Percent non-white
		Percent of the	
Educational	American Community Survey - 5	population 25 years	Percent with no high school diploma (or
Attainment	year estimate, 2013 - 2017	and older	equivalent)
		Population for whom poverty	
	American Community Survey - 5	status is	Percent under poverty threshold (400%
Poverty	year estimate, 2013 - 2017	determined	FPL)
roverty	American Community Survey - 5	Households/	Percent of households that are "limited
Linguistic Isolation	year estimate, 2013 - 2017	census tract	English-speaking"
5		Percent of	
	American Community Survey – 5	population 18 years	
Social Isolation	year estimate, 2013 – 2017	and over	Percent of adults who live alone
		Percent of	
Older Adult	American Community Survey - 5	population 18 years	Percent of adults who are older than 65
Isolation	year estimate, 2013 - 2017	and over	and live alone
			Percent of population not residing within
			0.5 mile of bus/ferry/ferry stop with <15
No Access to	Boy Area MTC 2012	Census tract	minutes waiting time during peak commute hours
Transit Population	Bay Area MTC, 2012 American Community Survey - 5	population	
Density	year estimate, 2013 - 2017	Total population	Population per square mile
Density	California Office of Statewide		
	Health Planning and		
	Development (2011-2013) via	Census Tract	
Asthma	CalEnviroScreen 3.0	population	Emergency Department Visits per 10,000
	California Office of Statewide		
	Health Planning and		
Cardiovascular	Development (2011-2013) via	Census Tract	Heart attack emergency department
Disease	CalEnviroScreen 3.0	population	visits per 10,000 people
Max Surface		Labor Day, 2017	
Temperature	NASA MODIS Satellite data	(heat wave)	Fahrenheit
Min Surface		Labor Day, 2017	Fabra ab ait
Temperature	NASA MODIS Satellite data	(heat wave)	Fahrenheit
	Multi-Resolution Land		
Percent	Characteristics Consortium, National Land Cover Database		Percent of the land area covered by
Impervious	(NLCD) 2011, extracted from		impervious surfaces (population
Surface	CalCRACE	Census Tract area	weighted)
Sanaoo			worgined,
		Annuai Mean	
	California Air Resources Board via	Annual Mean PM2.5	



	California Air Resources Board via	Mean summer	
Ozone	CalEnviroScreen 3.0, 2012-2013	ozone	Parts per million
	Multi-Resolution Land		
	Characteristics Consortium,		
	National Land Cover Database		
	(NLCD) 2011 extracted from		Percent of area not under tree canopy
Tree Canopy	CalCRACE	Census Tract area	(population weighted)
			Percent of households in a census tract
	Housing and Urban Development		that are both low income (making less
	(HUD) Comprehensive Housing		than 80% of their county's median family
	Affordability Strategy (CHAS)		income) and severely burdened by
	2009-2013 via CalEnviroScreen	Households/	housing costs (paying greater than 50%
Housing Burden	3.0	census tract	of their income for housing costs)

### Unstable Data

Due to low sample size or under sampling, some variables are relatively unstable, which is defined as 30% or greater coefficient of variation. The table below shows the coefficient of variation for all variables, including the percent of population living in census tracts where the coefficient of variation is above 30%.

Variable	Percent of population in unstable tracts	Percent of unstable census tracts <sup>2</sup>
Older Adults	1.8%	2.7%
People of Color	3.3%	4.4%
Education*	33.1%	36.3%
Older Adult Poverty**	86.2%	85.3%
Older Adult Isolation *	47%	45.1%
Living Alone/ Social Isolation	4.5%	4.4%
Linguistic Isolation*	50.5%	53.7%
Children	23.2%	27.7%
Disability	2.9%	3.9%
Population Density	0%	0%
Poverty	1.3%	1.7%

 $<sup>^2</sup>$  "Unstable" includes those where the Coefficient of Variation > 30%

- \* Included in PCA despite >30% exceedance
- \*\*Excluded from PCA



### Principal Component Analysis

Principal Component Analysis (PCA) analysis was used to reduce the dimensionality in the **twenty** variables and create independent factors based on the amount of explained variance, making the new factors more statistically independent than the original variables.

We retained five factors based on the percentage of variance explained by the factors and used a standard loadings cutoff of +/- 0.3. Factor scores were then calculated for each of the five factors for each census tract and converted into 0-100 range. Original measures values (i.e., percent of...) were first transformed to z-scores. All census tracts, regardless of stability, were included for the purposes of calculating factor loadings and the resulting index.





The PCA analysis found five significant independent factors explained 72% of the total variance.



**Proportional Variance, All Indicators** 



Indicators	Factor 1	2	3	4	5
Disability	0.51		0.67		
PM2.5					0.69
Linguistic Isolation	0.76				
Asthma	0.69				-0.42
Education	0.89				
Cardiovascular Disease	0.50	0.53			
People of Color	0.61				
Living Alone/ Social Isolation		-0.52	0.68		
Housing Burden	0.63	-0.50			
Population Density	0.38	-0.70			
Impervious Surfaces	0.51	-0.42			
Poverty	0.84	-0.35			
Ozone		0.70			
Children			-0.33		-0.54
No Access to Transit		0.70			
Older Adults			0.74	-0.37	
No Tree Canopy	0.41			0.82	0.13
Older Adult Isolation			0.89		
Land Surface Tmax	0.33	0.54			
Land Surface Tmin				-0.89	
SS Loadings	4.74	3.10	2.73	2.37	1.38
Proportional Variance	24%	16%	14%	12%	7%
Cumulative Variance	24%	39%	53%	65%	72%

## Data notes:

All data extraction and processing were conducted using python. R was used for data reduction, including the PCA vari-max rotation.



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